



OMB No. 0651-0011

## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	7099.1606	Appn. No.	09/961,419
Applicant	Richard M. RATLIFF et al.		
Filing Date	September 25, 2001	Group:	Unassigned

## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
/C.A./	5,331,546	07/19/94	Webber et al.			RECEIVED
	5,995,943	11/30/99	Bull et al.			APR 09 2002
	6,101,484	08/08/00	Halbert et al.			
	6,195,652	02/27/01	Fish			Technology Center 2100
↓	6,289,382	09/11/01	Bowman-Amuah			
/C.A./	6,295,541	09/25/01	Bodnar et al.			

## FOREIGN PATENT DOCUMENTS

	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


Examiner	/Charles Agwumezie/	Date Considered	01/16/2008
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce		

Substitute for form 1449/PTO (Revised 04/2003)				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Application Number	09/961,419
				Filing Date	09/25/2001
				First Named Inventor	Ratliff, et al.
				Group Art Unit	2161
				Examiner Name	Unknown
Sheet	1	of	1	Attorney Docket Number	023895/259077

## **OTHER DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s) , volume-issue number(s), publisher, city and/or country where published.	English Language Translation Attached
/C.A./	1	MICHAEL D. SMITH, JOSEPH BAILEY and ERIK BRYNJOLFSSON; <i>Understanding Digital Markets: Review and Assessment; Understanding the Digital Economy; 1999; Pages 1-40; MIT Press</i>	

Examiner Signature	/Charles Agwumezie/	Date Considered	01/16/2008
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

Filing Date	09/25/2001
First Named Inventor	Ratliff, et al.
Group Art Unit	2161
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Attorney Docket Number	023895/259077

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	English Language Translation Attached
/C.A./	1	EP 0 973 112 A2	01/19/2000	NCR International, Inc.		

**OTHER DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	English Language Translation Attached

Examiner Signature	/Charles Agwumezie/	Date Considered	01/16/2008
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(Revised 04/2003)

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1 Attorney Docket Number 023895/259077

### Complete if Known

Application Number	09/961,419
Filing Date	09/25/2001
First Named Inventor	Ratliff, et al.
Group Art Unit	3621
Examiner Name	Charlie C. Agwumezie

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Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
/C.A./	1	US-2001/0053989	12/20/2001	Keller et al.	
	2	US-2002/0111873	08/15/2002	Ehrlich et al.	
	3	US-2002/0184059	12/05/2002	Offutt Jr. et al.	
↓	4	US-2005/0177402	08/11/2005	Walker et al.	
/C.A./	5	US-6,076,070	06/13/2000	Stack	

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Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	English Language Translation Attached
/C.A./	6	WO 98/32289	08/2000	Frictionless Commerce Incorporated		
/C.A./	7	WO 00/45628	07/1998	The Board of Regents of the University of Washington		

### OTHER DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	English Language Translation Attached
/C.A./	8	Supplementary European Search Report for Application No. EP 01 97 5339; Search completed on September 13, 2006.	
	9	AMY R. GREENWALD and JEFFREY O. KEPHART; "Shopbots and Pricebots", Agent Mediated Electronic Commerce Towards Next-Generation Agent Based Electronic Commerce Systems; Vol. 1788, July 1999; Pages 1-23.	
	10	DAVID CLARK; "Shopbots: Help or Hindrance?", IEEE Intelligent Systems, IEEE Service Center, New York, NY, USA; Vol. 15, No. 2, March 2000, Pgs. 8-9.	
	11	ROBERT B. DOORENBOS, OREN ETZIONI and DANIEL S. WELD; "A Scalable Comparison-Shopping Web Agent for the World-Wide Web"; Proceedings of the First International Conference on Autonomous Agents, Marina Del Ray, California; February 5-8, 1997, Proceedings of the International Conference on Autonomous Agents, New York, ACM, US; Vol. Conf. 1, 1997, pages 3948.	
	12	JEFFREY O. KEPHART, JAMES E. HANSON, AMY R. GREENWALD; "Dynamic Pricing by Software Agents", Computer Networks, Elsevier Science Publishers B.V., Amsterdam, NL; Vol.32, No. 6; May 30, 2000; Pages 731-752.	
	13	ANTONY CHAVEZ, DANIEL DREILINGER, ROBERT GUTTMAN, PATTIE MAES; "A Real-Life Experiment in Creating an Agent Marketplace"; Proceedings of the International Conference on the Practical Application of Intelligent Agents and MultiAgent Technology; April 21, 1997; Pages 159-178.	
↓	14	JOAN MORRIS DIMICCO, PATTIE MAES and AMY GREENWALD; "Learning Curve: A Simulation-Based Approach to Dynamic Pricing", Electronic Commerce Research, 2003; Pages 245-276; Volume 3; Kluwer Academic Publishers; Netherlands	
/C.A./	15	ROBERT H. GUTTMAN, ALEXANDROS G. MOUKAS and PATTIE MAES; "Agent-mediated Electronic Commerce: A Survey"; Software Agents Group, MIT Media Laboratory; Pages 1-10	

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